

# FUNDAMENTAL CLASS & THE CYCLE MAP

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Recall: On Tuesday, Andrés proved a partial result of the purity theorem:  
 Speck,  $k = k^{\text{sep}}$ ,  $\text{char } k \neq n$

Let  $(Z, X)$  be a smooth  $\mathbb{S}$ -pair of codimension  $c$ , and let  $\mathbb{F} \in \text{Sh}(X_{\text{ét}})$  be locally isomorphic to  $\Lambda$  ( $\Lambda = \mathbb{Z}/n\mathbb{Z}$ ,  $\text{char } X \neq n$ ). Then

$$\Lambda = \mathbb{Z}/n\mathbb{Z} \quad \underline{H}_2^r(X, \mathbb{F}) := R^r i_* \mathbb{F} = \begin{cases} 0 & \text{if } r \neq 2c, \\ \text{locally isomorphic to } \Lambda & \text{if } r = 2c. \end{cases}$$

Goal: improve this to say  $\underline{H}_2^{2c}(X, \Lambda(c)) \cong \Lambda$

To do that, it is enough to find an element of order  $n$  in

$$T(Z; \underline{H}_2^{2c}(X, \Lambda(c))) = \underline{H}_2^{2c}(X, \Lambda(c)) \ni \underline{s}_{Z/X} \quad \text{FUNDAMENTAL CLASS OF } Z \text{ IN } X$$

already proved by Andrés

Indeed, such an element will define a map  $\Lambda \rightarrow \underline{H}_2^{2c}(X, \Lambda(c))$ , which will be an isomorphism on stalks.

We begin by constructing  $s_{Z/X}$  in the case  $c=1$  and  $Z$  irreducible, so that  $Z$  is a smooth prime divisor of  $X$ . ( $U = X \setminus Z$ )

$$\begin{array}{ccccccccc} H^0(X, G_m) & \xrightarrow{a} & H^0(U, G_m) & \xrightarrow{b} & H^1(X, G_m) & \xrightarrow{c} & H^1(X, G_m) & \xrightarrow{d} & H^1(U, G_m) \\ \uparrow \sim & & \uparrow \sim & \circlearrowleft & \uparrow \sim & \circlearrowleft & \uparrow \sim & & \uparrow \sim \\ T(X, \mathcal{O}_X^*) & \xrightarrow{a'} & T(U, \mathcal{O}_U^*) & \xrightarrow{b'} & \mathbb{Z} & \xrightarrow{c'} & \text{Pic}(X) & \xrightarrow{d'} & \text{Pic}(U) \\ & & \wedge & & \downarrow \sim & & \downarrow \sim & & \downarrow \sim \\ & & & & 1 & \xrightarrow{\quad} & [Z] & & \wedge \end{array}$$

$$0 \rightarrow \text{im } a \cong \ker b \rightarrow \underline{H}_2^{2c}(X, G_m) \rightarrow \text{im } c = \ker d \rightarrow 0$$

$$\text{im } a' \cong \ker b' = \mathbb{Z} \text{ or } 0 \quad \mathbb{Z}/n\mathbb{Z} \text{ or } 0 = \text{im } c' = \ker d'$$

If either of them is zero, we may directly conclude  $H_2^1(X, G_m) = \mathbb{Z}$ .  
 Otherwise we see a s.e.s  $[0 \rightarrow \mathbb{Z} \rightarrow H_2^1(X, G_m) \rightarrow \mathbb{Z}/n\mathbb{Z} \rightarrow 0]$

Recall:  $\text{Ext}^1(\mathbb{Z}/n\mathbb{Z}, \mathbb{Z}) = \mathbb{Z}/n\mathbb{Z}$

Consider the LES in  $H_2^*$  associated to the Kummer sequence

$$\begin{array}{ccccccc}
 0 & \rightarrow & H_2^0(X, \mu_n) & \rightarrow & H_2^0(X, G_m) & \rightarrow & H_2^0(X, G_m) \\
 & & \downarrow \cong & & \downarrow \cong & & \downarrow \cong \\
 & & H_2^1(X, \mu_n) & \rightarrow & H_2^1(X, G_m) & \xrightarrow{\cong} & H_2^1(X, G_m) \\
 \text{(purity)} & & 0 & & \text{injective} & & 
 \end{array}$$

So we have a morphism of short exact sequences + snake lemma

$$\begin{array}{ccccccc}
 0 & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & \mathbb{Z}/n\mathbb{Z} \\
 0 & \rightarrow & \mathbb{Z} & \rightarrow & H_2^1(X, G_m) & \rightarrow & \mathbb{Z}/n\mathbb{Z} \rightarrow 0 \\
 & & \downarrow n & & \downarrow n & & \downarrow n \\
 0 & \rightarrow & \mathbb{Z} & \rightarrow & H_2^1(X, G_m) & \rightarrow & \mathbb{Z}/n\mathbb{Z} \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & \mathbb{Z}/n\mathbb{Z} & \rightarrow & \text{Coker } n & \xrightarrow{\sim} & \mathbb{Z}/n\mathbb{Z} \rightarrow 0
 \end{array}$$

Since  $\mathbb{Z}/n\mathbb{Z}$  is finite, injective  $\Rightarrow$  bijective and we conclude that the cokernel of multiplication by  $n$  on  $H_2^1(X, G_m)$  is  $\mathbb{Z}/n\mathbb{Z}$ .

$$0 \rightarrow \mathbb{Z} \rightarrow H_2^1(X, G_m) \rightarrow \mathbb{Z}/n\mathbb{Z} \rightarrow 0 \implies H_2^1(X, G_m) = \mathbb{Z} \oplus T, \quad T \text{ torsion}$$

Since the cokernel of multiplication by  $n$  is  $\mathbb{Z}/n\mathbb{Z}$ , we must have  $T/nT = 0$ .  
 But  $nT = 0$  because  $\forall t \in T [nt] = 0 \in \mathbb{Z}/n\mathbb{Z} \Rightarrow nt$  comes from  $\mathbb{Z}$  Hence  $T = 0$   
 by exactness, but injectivity + torsion  $\Rightarrow nt = 0$

$$H_2^1(X, G_m) \cong \mathbb{Z}$$

$$nT = 0$$

So now we may consider once again the Kummer LES for  $H_2^*$

$$\begin{array}{ccccc}
 \mathbb{Z} & & \mathbb{Z} & & \mu_n \\
 \parallel & & \parallel & & \\
 H_2^1(X, \mathbb{G}_m) & \xrightarrow{n} & H_2^1(X, \mathbb{G}_m) & \xrightarrow{\delta} & H_2^2(X, \Lambda(1)) \\
 & & \uparrow \text{def} & & \uparrow \\
 & & 1 & \longrightarrow & S_{Z/X}
 \end{array}$$

THEOREM - [EC, VI.6.1] There is a unique function  $(Z, X) \mapsto S_{Z/X}$  associating to each smooth  $S$ -pair of codimension  $c$  a FUNDAMENTAL CLASS  $S_{Z/X} \in H_2^{2c}(X, \Lambda(c))$  satisfying the following:

- (a)  $S_{Z/X}$  has order  $n$
- (b) If  $c=1$  and  $Z$  is irreducible,  $S_{Z/X}$  is as defined above
- (c) If  $\phi: (Z', X') \rightarrow (Z, X)$  is a morphism of smooth  $S$ -pairs of codimension  $c$  ( $Z' = Z \times_{X'} X'$ ) then  $\phi^*(S_{Z/X}) = S_{Z'/X'}$

$$\phi^*: H_2^{2c}(X, \Lambda(c)) \rightarrow H_2^{2c}(X', \Lambda(c)) \quad Z = \coprod Z_j \Rightarrow H_2^{2c}(X) = \bigoplus H_2^{2c}(Z_j)$$

(d) If  $\begin{array}{ccc} Z & \xrightarrow{v} & Y \\ i \downarrow & & \uparrow u \\ & X & \end{array}$  are smooth pairs of codimensions  $a, b, c$  then there is an isomorphism  $H_2^{2c}(X, \Lambda(c)) \cong H_2^{2a}(Y, \Lambda(a)) \otimes H_2^{2b}(X, \Lambda(b))$

and under this isomorphism  $S_{Z/X} = S_{Z/Y} \otimes S_{Y/X}$

And with this, we have proved our "baby" Purity Theorem.

It is worth noting that according to [EC, VI.6.5.(a)], we may express the fundamental class using the Gysin map

$$\left[ \begin{array}{ccc}
 H^0(Z, \Lambda) \cong \Lambda & \xrightarrow{\text{Gysin}} & H^{2c}(X, \Lambda(c)) \\
 1 & \xrightarrow{\text{thm}} & S_{Z/X}
 \end{array} \right]$$

Now we are going to use the fundamental class with a different purpose

Our goal is to define a functorial graded ring homomorphism

$$cl_X: CH^*(X) \longrightarrow H^{2*}(X), \quad H^*(X) := \bigoplus H^r(X, \wedge^r(L^{\frac{1}{2}}))$$

CYCLE MAP

So we are going to review the Chow group and the ring structure on  $H^*$ .

CUP-PRODUCT: Using [EC, V.1.16] we may extend

$$\left[ \begin{array}{ccc} T(X, \mathcal{F}_1) \times T(X, \mathcal{F}_2) & \longrightarrow & T(X, \mathcal{F}_1 \otimes \mathcal{F}_2) \\ (s_1, s_2) & \longmapsto & s_1 \otimes s_2 \end{array} \right]$$

to a functorial pairing called CUP PRODUCT (works for  $\underline{R}\pi_*$  and  $\underline{H}_2$ ).

$$\left[ \begin{array}{ccc} H^r(X, \mathcal{F}_1) \times H^s(X, \mathcal{F}_2) & \longrightarrow & H^{r+s}(X, \mathcal{F}_1 \otimes \mathcal{F}_2) \\ (\gamma_1, \gamma_2) & \longmapsto & \gamma_1 \cup \gamma_2 \end{array} \right]$$

which satisfies  $\rightsquigarrow d\gamma_1 \cup \gamma_2 = d(\gamma_1 \cup \gamma_2)$   $\gamma_1 \cup \gamma_2 = (-1)^{rs} \gamma_2 \cup \gamma_1$   
 $\rightsquigarrow \gamma_1 \cup d\gamma_2 = (-1)^r d(\gamma_1 \cup \gamma_2)$

It is also possible to give other constructions that lead to this same product: Čech cohomology, Godement resolutions, Ext pairing (see [EC, 171-174]).  
 This makes  $H^*(X)$  into an associative anticommutative graded ring.

CHOW RING: We work with quasi-projective smooth varieties over  $k = k^{ab}$ .

$\rightsquigarrow$  PRIME  $r$ -CYCLE := closed irreducible subvariety of codim  $r$

$\rightsquigarrow r$ -CYCLE  $\in C^r(X)$  := free ab. group generated by prime  $r$ -cycles

$\rightsquigarrow$  CYCLE  $\in C^*(X) := \bigoplus_r C^r(X)$

For any closed subscheme  $Z$  of codimension  $r$ , let  $\gamma_1, \dots, \gamma_s$  be the irreducible components of codim  $r$  and define the cycle associated to  $Z$

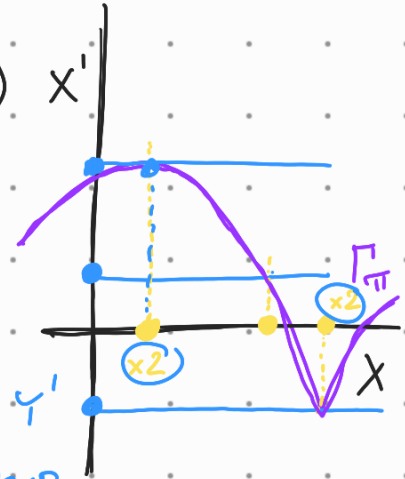
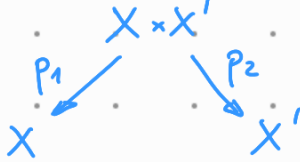
$$Z = \sum_{i=1}^s n_i \gamma_i, \quad n_i = \text{length } \mathcal{O}_{\gamma_i, Z}$$

For any morphism of varieties  $\pi: X \rightarrow X'$  we define two operations

$$\gamma \subset X \text{ prime } r\text{-cycle} \rightsquigarrow \pi_* \gamma = \begin{cases} 0 & \text{if } \dim \pi(\gamma) < \dim \gamma \\ \underbrace{[K(\gamma):K(\pi(\gamma))] \pi(\gamma)}_{\text{degree of } \pi \text{ along } \gamma} & \text{if } \dim \pi(\gamma) = \dim \gamma \end{cases}$$

(extend linearly to cycles)

$$\gamma' \subset X' \text{ subvariety} \rightsquigarrow \pi^* \gamma' := p_{1,*} (\Gamma_{\pi} \cdot (X \times \gamma'))$$



We also define the concept of rational equivalence:

$$f: \tilde{V} \xrightarrow{\text{normaliz.}} V \xleftarrow{\text{subvariety}} X$$

Weil = Cartier and we have linear equivalence

CHOW GROUP

$$CH^*(X) := C^*(X) / \sim_{\text{rational equivalence}}$$

$$\text{D, D' linearly equiv} \xrightarrow{\text{DEF}} f_* D, f_* D' \text{ rationally equivalent}$$

An intersection theory (on  $\mathcal{B} = \left\{ \begin{array}{l} \text{quasi-projective} \\ \text{smooth varieties} \\ \text{over } k = \text{alg} \end{array} \right\}$ ) consists of giving a pairing  $CH^r(X) \times CH^s(X) \rightarrow CH^{r+s}(X)$  for each  $r, s$  and for each  $X \in \mathcal{B}$  satisfying the following axioms [Har, A.1.1]

- (A1) The intersection pairing makes  $CH(X)$  into a commutative, associative ring with 1 for every  $X \in \mathcal{B}$ . This is called the CHOW RING of  $X$ .
- (A2)  $\forall \pi: X \rightarrow X'$ ,  $\pi^*: CH^*(X') \rightarrow CH^*(X)$  is a ring homomorphism and  $\pi_* \circ \pi^* = (\pi \circ \pi)^*$
- (A3)  $\forall \pi: X \rightarrow X'$  proper  $\pi_*: CH^*(X) \rightarrow CH^*(X')$  is a homomorphism of graded groups and  $\pi_* \circ \pi_* = (\pi \circ \pi)_*$

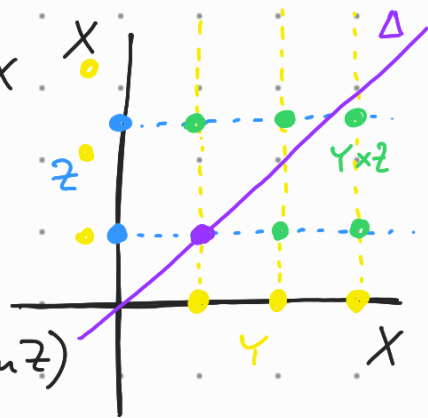
(A4) PROJECTION FORMULA:  $\forall \pi: X \rightarrow X'$  proper,  $x \in CH^*(X)$ ,  $y \in CH^*(X')$

$$\pi_* (x \cdot \pi^* y) = \pi_* x \cdot y$$

(A5) REDUCTION TO THE DIAGONAL: if  $\gamma, z$  are cycles on  $X$

and  $\Delta: X \rightarrow X \times X$  is the diagonal morphism

$$\text{then } \gamma \cdot z = \Delta^* (\gamma \times z)$$



(A6) LOCAL NATURE: if  $\gamma, z$  are subvarieties of  $X$

which intersect properly ( $\text{codim}(\gamma \cap z) = \text{codim} \gamma + \text{codim} z$ )

$$\text{then } \gamma \cdot z = \sum \underbrace{i(\gamma, z; W_j)}_{\text{local intersection multiplicity of } z \text{ and } \gamma \text{ along } W_j} W_j$$

local intersection multiplicity of  $z$  and  $\gamma$  along  $W_j$

where  $W_j$  are the irreducible components of  $\gamma \cap z$  and  $i(\gamma, z; W_j)$  depends only on a nbhd of the generic point of  $W_j$  on  $X$ .

(A7) NORMALIZATION: If  $\gamma$  is a subvariety of  $X$  and  $z$  is an effective Cartier divisor meeting  $\gamma$  properly, then  $\gamma \cdot z$  is just the cycle associated to the Cartier divisor  $\gamma \cap z$  on  $\gamma$ .

THEOREM - [Hart, A.1.1] There is a unique intersection theory on  $\mathcal{B}$ .

So now we know what it means for

$$cl_X: CH^*(X) \rightarrow H^{2*}(X)$$

to be a functorial graded ring homomorphism.

We define the map as follows:

- if  $Z$  is nonsingular then we may associate it its fundamental class  $s_{Z/X} \in H^{2c}(X, \mathbb{Z}(c)) \subset H^{2*}(X)$ , which is the image of 1 under the Gysin map

$$\underline{1 \cong H^0(Z, \mathbb{Z}) \rightarrow H^{2c}(X, \mathbb{Z}(c))}$$



(c) Additivity: If  $0 \rightarrow \mathcal{E}' \rightarrow \mathcal{E} \rightarrow \mathcal{E}'' \rightarrow 0$  is a SES of vector bundles on  $X$ , then  $c_t(\mathcal{E}) = c_t \mathcal{E}' \cdot c_t \mathcal{E}''$  (Chern polynomials)

$$c_t \mathcal{E} = \sum_{i=0}^r c_i \mathcal{E} t^i$$

These properties characterize the Chern classes uniquely (Grothendieck).

Idea of the construction of the cycle map:

- for each  $Z \hookrightarrow X$  consider  $\mathcal{O}_Z$  as an  $\mathcal{O}_X$ -module
- resolve it by vector bundles

$$0 \rightarrow \mathcal{E}_n \rightarrow \dots \rightarrow \mathcal{E}_0 \rightarrow \mathcal{O}_Z \rightarrow 0$$

and define  $\gamma(Z) = \sum (-1)^i [\mathcal{E}_i]$ ,  $\gamma: C^*(X) \rightarrow K_0(\text{VB}(X))$

- by additivity,  $c_*$  factors through  $K_0(\text{VB}(X))$  and then

$$C^*(X) \xrightarrow{\gamma} K_0(\text{VB}(X)) \xrightarrow{c_*} H^{2*}(X)$$

is the desired map.

- passing to the assoc. graded of  $K_0$  and relabeling shows that we have a functorial graded ring homomorphism. [EC, VI.10.7].  
(if  $(dn_X - 1)!$  is invertible in  $\mathbb{Z}$ )

PROPOSITION - [EC, VI.10.6] (Wrong proof) Both constructions coincide

$$\begin{array}{l} \mathcal{E} \text{ v.b. on } X \\ \text{of rank } r \end{array} \quad \begin{array}{l} \mathcal{O}(1) \\ \mathbb{P}(\mathcal{E}) \rightarrow X \end{array}$$

$$H^*(X) \xrightarrow{\quad} H^*(\mathbb{P}(\mathcal{E})) \quad \left( 1, \xi, \dots, \xi^{r-1} \right)$$

$$\left. \begin{array}{l} c_0 \mathcal{E} = 1 \\ \sum_{i=0}^r \pi_* c_i \mathcal{E} \xi^{r-i} = 0 \end{array} \right\} \quad \xi = 1 - \xi^r$$

$\xi$  class of a hyperplane section